

# FACTS

## WHAT DOES PEARL WEALTH LLC DO WITH YOUR PERSONAL INFORMATION?

|              |   |
|--------------|---|
| <b>Why?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.  |
| <b>What?</b> | <p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>■ Social Security number or taxpayer identification number</li> <li>■ Home address or email address</li> <li>■ Assets, income and financial information</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |
| <b>How?</b>  | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons choose to share; and whether you can limit this sharing.   |

| Reasons we can share your personal information  | Does Pearl Wealth LLC share? | Can you limit this sharing? |
|---|------------------------------|-----------------------------|
| <b>For our everyday business purposes—</b><br>such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                          | Yes                         |
| <b>For our marketing purposes—</b><br>to offer our products and services to you   | Yes                          | Yes                         |
| <b>For joint marketing with other financial companies</b>   | No                           | We don't share              |
| <b>For our affiliates' everyday business purposes—</b><br>information about your transactions and experiences   | Yes                          | Yes                         |
| <b>For our affiliates' everyday business purposes—</b><br>information about your creditworthiness   | No, not applicable           | No, not applicable          |
| <b>For non-affiliates to market to you</b>  | No, not applicable           | No, not applicable          |

### Questions?

Call 503-223-2539 or go to [www.pearlwealthllc.com](http://www.pearlwealthllc.com).

## Who we are

### Who is providing this notice?

Pearl Wealth LLC  
915f NW 19<sup>th</sup> Ave.  
Portland, OR 97209

## What we do

### How does Pearl Wealth LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

### How does Pearl Wealth LLC collect my personal information?

We collect your personal information, for example, when you:

- open an account or give us your financial information
- seek advice about your investments or tell us about your investment or retirement portfolio
- invest, deposit or withdraw money

### Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

### Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

### Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

### Joint marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

## Other important information

Our relationship with you is one of our most vital assets. We recognize that you have entrusted us with your private financial information, and we will do our utmost to maintain this trust. From time to time, we may amend our privacy policy. You will receive appropriate notice when our privacy policy changes.